



IT IS HEREBY ADJUDGED and DECREED that the below described is SO ORDERED.

Dated: April 05, 2023.

A handwritten signature in black ink, appearing to read "Mike Parker".

**MICHAEL M. PARKER
UNITED STATES BANKRUPTCY JUDGE**

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE WESTERN DISTRICT OF TEXAS
SAN ANTONIO DIVISION**

IN RE:

**DEEPROOT CAPITAL MANAGEMENT, LLC,
ET AL.,¹**

DEBTORS.

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CASE NO. 21-51523-MMP

CHAPTER 7

**JOINTLY ADMINISTERED UNDER
CASE NO. 21-51523-MMP**

**ORDER GRANTING IN PART THIRD INTERIM APPLICATION FOR ALLOWANCE OF LEGAL FEES
AND EXPENSES FOR PULMAN, CAPPUCCIO & PULLEN, LLP, AS COUNSEL TO THE TRUSTEE FOR
THE TIME PERIOD OF OCTOBER 1, 2022 TO JANUARY 31, 2023**

¹ The jointly administered chapter 7 cases, along with their respective case numbers and the last four digits of each Debtor's federal tax identification number, are: In Re: Policy Services, Inc. 21-51513 (2864), In Re: Wizard Mode Media, LLC, 21-51514 (3205), In Re: deeproot Pinball LLC, 21-51515 (0320), In Re: deeproot Growth Runs Deep Fund, LLC, 21-51516 (8046), In Re: deeproot 575 Fund, LLC, 21-51517 (9404), In Re: deeproot 3 Year Bonus Income Debenture Fund, LLC, 21-51518 (7731), In Re: deeproot Bonus Growth 5 Year Debenture Fund, LLC, 21-51519 (9661), In Re: deeproot Tech LLC, 21-51520 (9043), In Re: deeproot Funds LLC, 21-51521 (9404), In Re: deeproot Studios LLC, 21-51522 (6283), and In Re: deeproot Capital Management, LLC, 21-51523 (2638), each a "Bankruptcy Estate" and collectively, "Bankruptcy Estates."

Came on for consideration the *Third Interim Application for Allowance of Legal Fees and Expenses for Pulman, Cappuccio & Pullen, LLP, as Counsel to the Trustee for the Time Period of October 1, 2022 to January 31, 2023* (“**Third Interim Application**”). The Court has considered the Third Interim Application and finds that the fees and expenses represent reasonable compensation for actual and necessary services, and reimbursement for actual, necessary expenses. After considering the pleadings, the Court also finds that (i) it has jurisdiction over the matters raised in the Third Interim Application pursuant to 28 U.S.C. § 1334; (ii) this is a core proceeding pursuant to 28 U.S.C. § 157(b)(2); (iii) proper and adequate notice of the Third Interim Application has been given and that no other or further notice is necessary; (iv) all objections to the Third Interim Application have been resolved by this Order or are overruled in their entirety; and (v) upon the record herein after due deliberation thereon good and sufficient cause exists for the granting in part of the relief as set forth herein. It is, therefore,

ORDERED that the Third Interim Application pursuant to 11 U.S.C. § 330 is hereby **GRANTED IN PART**. It is further

ORDERED that the fees in connection with the legal services described in the Third Interim Application are allowed in part. All interim fees in the amount of \$309,885.00² and all expenses in the amount of \$29,860.29, for the allowance of a total of \$339,745.29 in hourly fees and costs, shall be an administrative expense of the respective Bankruptcy Estates³ as outlined in the Third Interim Application. It is further

² The Court finds that all redacted time entries should be disallowed at this time because the Court cannot assess the reasonableness and necessity of the services performed. The amount of the award thus reflects Applicant’s requested interim fees of \$324,644.00 less \$14,759.00, the amount of the redacted time entries (“**Redacted Time Entries**”).

³ Capitalized terms shall have the meaning ascribed to them in the Third Interim Application.

ORDERED that the Trustee is authorized to immediately pay Applicant in connection with the hourly services provided, fees and expenses totalling \$339,745.29 from the specific Bankruptcy Estate accounts, as designated in the chart attached hereto as Exhibit 1. It is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from the implementation of this Order. It is further

ORDERED that this Order is without prejudice to Applicant in a subsequent application seeking recovery of the Redacted Time Entries.

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EXHIBIT 1

PC&P Matter No.	Matter Description	Debtor Estate	Fees	Expenses	Hours
1934.001	Main Bankruptcy Case	Wizard Mode Media, LLC, Case No. 21-51514 Policy Services, Inc. Case No. 21-51513	\$3,125.00 \$59,451.50	\$0.00 \$967.38	7.90 184.10
1934.002	SEC Matter	deeproot Funds, LLC, Case No. 21-51521	\$13,460.00	\$1,001.84	33.60
1934.003	CCW Matter	deeproot Funds, LLC, Case No. 21-51521	\$4,200.00	\$22.00	10.30
1934.004	Ohana Matter	Policy Services, Inc., Case No. 21-51513	\$3,095.00	\$40.74	7.00
1934.005	Cycladic Matter	Policy Services, Inc., Case No. 21-51513	\$44,786.00	\$7,975.33	135.80
1934.006	Winn Matter	Policy Services, Inc., Case No. 21-51513	\$3,372.50	\$27.00	9.10
1934.007	Mueller Matter	Policy Services, Inc., Case No. 21-51513	\$26,180.00	\$350.00	68.00
1934.008	Net Winners Matter	Policy Services, Inc., Case No. 21-51513	\$18,912.5	\$568.00	60.00
1934.009	Nationwide Matter	Policy Services, Inc., Case No. 21-51513	\$0.00	\$0.00	0.0
1934.010	Pinball Matter	deeproot Tech, LLC, Case No. 21-51520	\$17,800.00	\$3,451.01	46.00
1934.011	Finders Matter	Policy Services, Inc., Case No. 21-51513	\$8,462.50	\$975.00	25.30
1934.012	Financial Horizons Concepts Finder	Policy Services, Inc., Case No. 21-51513	\$3,132.50	\$350.00	10.00
1934.013	Kenneth & Brownie Martin Net Winner	deeproot Funds, LLC, Case No. 21-51521	\$790.00	\$0.00	2.30
1934.014	David & Mary Medlang Net Winner	deeproot Funds, LLC, Case No. 21-51521	\$7,222.50	\$2,079.68	23.40
1934.015	Financial Partners of America Finder	Policy Services, Inc., Case No. 21-51513	\$2,877.50	\$375.92	11.70
1934.016	INF Solutions LLC Finder	Policy Services, Inc., Case No. 21-51513	\$2,747.50	\$350.00	8.60
1934.017	GM Consultants Group Inc. Finder	Policy Services, Inc., Case No. 21-51513	\$2,062.50	\$383.88	8.90
1934.018	Candice Gillen Net Winner	deeproot Funds, LLC, Case No. 21-51521	\$900.00	\$0.00	2.70

1934.019	Alice Snell Net Winner	deeproot Funds, LLC, Case No. 21-51521	\$1,160.00	\$0.00	3.40
1934.020	Carl & Paula Jarnecke/Trust Net Winner	deeproot Funds, LLC, Case No. 21-51521	\$890.00	\$0.00	2.50
1934.021	D. Stien/Fidelity Mutual Finder	Policy Services, Inc., Case No. 21-51513	\$2,652.50	\$376.40	11.50
1934.022	McNamara Cap. Investmt Grp Finder	Policy Services, Inc., Case No. 21-51513	\$2,652.50	\$350.00	8.50
1934.023	PGH Advisors Finder	Policy Services, Inc., Case No. 21-51513	\$15,180.00	\$350.00	44.90
1934.024	Jacob Proux Finder	Policy Services, Inc., Case No. 21-51513	\$1,287.50	\$362.96	6.70
1934.025	John M Vizy Finder	Policy Services, Inc., Case No. 21-51513	\$1,360.00	\$375.92	6.80
1934.026	Mark Zabinski Finder	Policy Services, Inc., Case No. 21-51513	\$1,800.00	\$362.72	8.40
1934.027	CMB/Wiley Carter Finder	Policy Services, Inc., Case No. 21-51513	\$1,517.50	\$350.00	4.70
1934.028	Purpose Driven Fin. Servs. Finder	Policy Services, Inc., Case No. 21-51513	\$1,632.50	\$350.00	5.20
1934.029	Premier Group Enterpr. Finder	Policy Services, Inc., Case No. 21-51513	\$2,495.00	\$388.88	10.20
1934.030	Dennis Wirth, Agent Adv. Grp. Finder	Policy Services, Inc., Case No. 21-51513	\$4,397.50	\$350.00	13.70
1934.031	Michael Cales Finder	Policy Services, Inc., Case No. 21-51513	\$2,355.00	\$350.00	7.50
1934.032	George M Villa Finder	Policy Services, Inc., Case No. 21-51513	\$1,357.50	\$350.00	4.20
1934.033	Randy Seymour Finder	Policy Services, Inc., Case No. 21-51513	\$2,887.50	\$362.72	14.90
1934.034	Raul T Gomez Finder	Policy Services, Inc., Case No. 21-51513	\$1,432.50	\$362.72	6.90
1934.035	RD and J, Inc. Finder	Policy Services, Inc., Case No. 21-51513	\$1,380.00	\$350.00	4.20
1934.036	David Rosen Finder	Policy Services, Inc., Case No. 21-51513	\$1,940.00	\$362.96	8.70
1934.037	Bob Patrick Finder (no suit filed)	Policy Services, Inc., Case No. 21-51513	\$442.50	\$0.00	1.20
1934.038	Crissman Crombie Finder	Policy Services, Inc., Case No. 21-51513	\$1,277.50	\$362.72	6.50
1934.039	Agents Insur. Sales & Svcs. Finder	Policy Services, Inc., Case No. 21-51513	\$2,247.50	\$418.40	9.90

1934.040	Hart Financial Finder (no suit filed)	Policy Services, Inc., Case No. 21-51513	\$525.00	\$0.00	1.50
1934.041	Savings Options & Solutions Finder (filed in .039 matter)	Policy Services, Inc., Case No. 21-51513	\$497.50	\$0.00	1.40
1934.042	Shantell Wright Finder	Policy Services, Inc., Case No. 21-51513	\$1,780.00	\$375.44	8.20
1934.043	Steven Fortier Finder	Policy Services, Inc., Case No. 21-51513	\$1,807.50	\$362.96	8.30
1934.044	David Obman Finder (filed in .039 matter)	Policy Services, Inc., Case No. 21-51513	\$867.50	\$0.00	2.50
1934.045	Scott Nazarino Finder	Policy Services, Inc., Case No. 21-51513	\$1,967.50	\$367.72	8.80
1934.046	Senior Insurance Service Finder	Policy Services, Inc., Case No. 21-51513	\$2,017.50	\$389.60	8.90
1934.047	Safe Money Solutions Finder	Policy Services, Inc., Case No. 21-51513	\$1,715.00	\$350.00	5.50
1934.048	CWB Investment Advisors Finder (filed in .023 matter)	Policy Services, Inc., Case No. 21-51513	\$870.00	\$0.00	5.30
1934.049	Dianne McClish Finder (no suit filed)	Policy Services, Inc., Case No. 21-51513	\$607.50	\$0.00	1.80
1934.050	Blanchard & Assoc. Finder	Policy Services, Inc., Case No. 21-51513	\$1,752.50	\$375.92	8.10
1934.051	Azar Parchami Finder	Policy Services, Inc., Case No. 21-51513	\$3,882.50	\$350.00	13.30
1934.052	Great Lakes Wealth Mgmt Finder (no suit filed)	Policy Services, Inc., Case No. 21-51513	\$1,505.00	\$0.00	4.90
1934.053	Domonic Cotton Finder	Policy Services, Inc., Case No. 21-51513	\$1,990.00	\$362.72	8.80
1934.054	Flemming Financial Svcs Inc. Finder	Policy Services, Inc., Case No. 21-51513	\$2,917.50	\$388.88	10.80
1934.055	Gregory Talbot Finder	Policy Services, Inc., Case No. 21-51513	\$1,580.00	\$362.96	7.60
1934.056	Jack Nace Finder	Policy Services, Inc., Case No. 21-51513	\$1,532.50	\$362.72	7.10
1934.057	G-Force Technical Matter	Policy Services, Inc., Case No. 21-51513	\$2,037.50	\$0.00	7.00
1934.059	Amercian Express Co. Matter	Policy Services, Inc., Case No. 21-51513	\$12,110.00	\$357.19	38.30
	Total:*		\$309,885.00	\$29,860.29	1003.30
*TOTAL FEES ARE NET OF \$7,000.00 BILLING JUDGMENT CREDIT APPLIED TO PC&P MATTER NO. 1934.001					